

標準零售定價： 傭金與收費

聯繫信息：

登入并進入客戶服務>訊息中心，
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郵寄地址：

PO Box 2760, Omaha, NE 68103-2760

若本表格的英文與中文版本內容有任何差異，以英文版本為準。

我們低廉且直截了當的傭金與收費讓您可以專注于您投資策略的執行.....而不是計算費用。

傭金

股票和ETF

交易無限制股數(市價或限價單)	價格
網上交易	\$ 0.00*
電話通下單系統(IVR)	\$ 5.00
經紀人協助下單	\$25.00

*注意:在美國交易所上市的股票和ETF網上交易的傭金為0.00美元。場外交易(OTC)股票(未在美國證券交易所上市的股票)的在線交易收取 6.95美元傭金。在同一交易日以多筆執行的訂單將收取一次傭金。當訂單在多個交易日內部分被執行時，訂單將按每個交易日分別收取傭金。

共同基金

基金類型	價格
無交易費(NTF)基金	無交易費
無銷售費(No-Load)基金	最高\$49.99。或**\$74.95 買入，\$0 賣出
銷售費(Load) 基金	無交易費*

*基金家族將按照基金公開說明書中的詳述收取費用。 **對於您所購買的某些不向德美利證券支付所購股票記錄保存、股東和其他管理服務的基金家族，會被收取更高的交易費。

如果持有無交易費(NTF)共同基金(除了ProFunds和 Rydex外)少於或等於180天，將會產生\$49.99的短期贖回費。這筆費用是在任何適用交易費用和在公開說明書中列出費用之外的額外收費。

德美利證券從包括參與其無銷售費(no-load)、無交易費(NTF)計劃在內的基金公司獲得保存記錄、股東服務以及其他行政和分配服務的酬金。德美利證券從這些服務中獲取的酬金數額部分取決於德美利證券客戶對這些基金的投資數量。若通過德美利證券持續投資無交易費(NTF)基金和其他基金還有其他費用和收費，這些在公開說明書中有所描述。

期權

股票或指數期權、市價和限價單	價格
網上交易	\$ 0.00+ \$0.65每一合約
電話通下單系統(IVR)	\$ 5.00+ \$0.65 每一合約
經紀人協助下單	\$25.00+ \$0.65 每一合約

請注意：無執行和指派費用。期權涉及風險，并不適合所有投資者。

指數期權費用

底層代號	描述	權利金	到期日	每張合約費用
SPX	S&P 500指數	<\$1	每月	\$0.57
SPX	S&P 500指數	>=\$1	每月	\$0.66
SPXW	S&P 500指數	<\$1	每周/每季度	\$0.49
SPXW	S&P 500指數	>=\$1	每周/每季度	\$0.58
OEX	S&P 100指數 (美式行權)	全部	每月/每季度	\$0.40
OEX	S&P 100指數 (美式行權)	全部	每周	\$0.30
XEO	S&P 100指數 (歐式行權)	全部	每月/每季度	\$0.40
XEO	S&P 100指數 (歐式行權)	全部	每周	\$0.30
VIX	Cboe市場波幅指數	<=\$0.10	全部	\$0.05
VIX	Cboe市場波幅指數	\$0.11-\$0.99	全部	\$0.17
VIX	Cboe市場波幅指數	\$1-\$1.99	全部	\$0.30
VIX	Cboe市場波幅指數	>=\$2	全部	\$0.45
RUT	Russell 2000 Index / Russell 2000 指數	全部	全部	\$0.18

請注意：指數期權費用是與專有指數期權產品（由芝加哥期權交易所CBOE提供）相關的費用，如有更改，恕不另行通知。您也可以在www.cboe.com上找到Cboe期權交易費用表的副本。

債券和定期存單(CDs)

產品類型	價格
拍賣的美國國債券	如提供則免傭金
債券和定期存單交易	以淨收益率為基礎

德美利證券可作為任何固定收益交易的委托人。作為委托人，我們將從任何買入中加價，並從每筆賣出中減價。此加價或減價將包含在給您的報價中。

期貨與外匯

期貨和期貨期權	每張合約\$ 2.25 (外加交易所和監管費用)
注意：交易所費用可能會因交易所和產品而異。監管費用由全美期貨協會 (NFA) 評估，目前每張合約0.02美元。所有價格均以美元顯示。	
外匯	\$ 0.00 *
*沒有傭金，但是交易成本反映在買賣價差中。	
注意：期貨、期貨期權和外匯交易服務由TD Ameritrade Futures & Forex LLC提供。交易特權須經審查并獲批准。并非所有客戶都有資格被批准。俄亥俄州或亞利桑那州的居民無法使用外匯帳戶。	

收費表

服務費†

提取憑證 遞送††	價格 \$500
支票 重訂支票簿 支票副本	免費/100，IRA帳戶 - 免費/20 \$0
雜項 隔日快遞 停止付款	按成本收費 \$0
重組 強制性重組 自願性要約收購	\$38 \$0

接上頁服務費

賬戶對賬單和交易確認

電子賬戶對賬單	免費
美國郵政郵寄書面月對賬單†	免費：賬戶清倉價值≥10,000美元 \$2：賬戶清倉價值<10,000美元
美國郵政郵寄書面季度對賬單	免費：賬戶清倉價值≥10,000美元 \$2：賬戶清倉價值<10,000美元
美國郵政郵寄書面交易確認	免費
美國郵政郵寄替補書面對賬單	\$5
美國郵政郵寄替補交易確認	\$5

轉帳

整個賬戶轉出	\$75
部分賬戶轉出	免費
電匯(轉出)國內/國際	\$25

特殊費用

強制性保證金催繳售出	\$25 + 經紀人佣金
外國證券	\$15 + 經紀人佣金及所有相關本地市場收費
移除無市場證券	免費
共同基金短期贖回	\$49.99
另類投資(AI)保管費	每個倉位\$250
另類投資(AI)交易費用	每筆交易\$100
Regulation T 延長	\$25
處理受限制證券	\$250
支票/電子注資被退費用	\$25
難借用證券費	定價各不相同
美國存托憑證(ADR)費用	定價各不相同

注意：根據所收取的費用，特殊情况可能適用於退休帳戶。

監管費用††

"Section 31"費用 ¹	\$0.0000229每\$1.00交易所得
期權監管費用 ²	\$0.009946每一張期權合約
交易活動費 ³	每股股票\$0.000130 每張期權合約\$0.00218 每張債券\$0.00082 \$0.00000082 x每張資產抵押證券交易價值

所有價格均以美元顯示。佣金和費用可能因計劃、地點或安排而異并可能提前30天通知您其變更。

† 所有服務費用可能會有所改變。

†† 需要特殊處理的憑證，包括外國證券的憑證以及將憑證分成多個面額的請求，可能會產生額外費用，這些額外費用將轉至您的賬戶。

‡ 某些賬戶，如期權賬戶，須以電子方式(免費)或通過美國郵政接收每月對賬單。

‡‡ 德美利證券交付的監管費用將從客戶賬戶收取，可能在無通知的情況下進行更改。

1 "Section 31 費用"向某些賣出交易徵收利率，所收取的利率符合1934年證券交易法案Section 31。德美利證券轉送這些收費到某些自我監管機構和美國國內證券交易所，由它們付款給美國證監會(SEC)。這些收費用以支付政府產生的成本，包括證監會監督和管理證券市場和證券專業人士。費率會每年或年中調整，德美利證券可能無法馬上獲悉；因此，收取的費用可能不同於或超出適用於您交易的真正金額。德美利證券可能保留任何超出的部份。費用將按美分四捨五入。

2 此費用因期權交易所、期權成交地點、以及負責交易的經紀商是否為特定交易所成員的不同而不同。因此，德美利證券計算混合利率，它將等於或稍微超出送交到期權交易所所需的數額。

3 此費用的徵收利率符合FINRA交易活動規章Schedule A Section 1。目前利率為：每股受影響股票證券的每次賣出為\$0.000130，每筆交易最高收取\$6.49；每次賣出每張期權合約為\$0.00218；賣出每張受TRACE-Eligible影響的債券(有抵押證券除外)及/或市政證券為\$0.00082，每筆交易最高收取\$0.82；\$0.00000082乘以出售有抵押證券的價值(即向TRACE報告的價值)，每筆交易最高收取\$0.82。費用按美分四捨五入。

Investment Products:
Not FDIC Insured * No Bank Guarantee * May Lose Value

投資產品：
非FDIC保險 * 非銀行保證 * 可能損失價值

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Standard Retail Pricing: Commissions and Fees



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Message Center to contact us

Mailing Address:

PO Box 2760, Omaha, NE 68103-2760

In case of any discrepancy between the English and Chinese version of this form, the English version shall prevail.

Our low, straightforward commissions and fees let you concentrate on executing your investment strategy...not on calculating fees.

Commissions

Stocks and Exchange Traded Funds (ETFs)

Trade Unlimited Shares (Market or Limit)	Price
Internet	\$0.00*
Interactive Voice Response (IVR) Phone System	\$5.00
Broker-Assisted	\$25.00

*\$0 c commission applies to online U.S. exchange-listed stocks and ETFs. A \$6.95 commission applies to online trades of over-the-counter (OTC) stocks which includes stocks not listed on a U.S. exchange.

Orders executed in multiple lots on the same trading day will be charged a single commission. When an order is partially executed over multiple trading days, the order is subject to a separate commission charge for each trading day.

Mutual Funds

Fund Type	Price
No-Transaction-Fee (NTF) Funds	No Transaction Fee
No-Load Funds	Up to \$49.95 or **\$74.95 for buys \$0 for sells
Load Funds	No Transaction Fee*

*The Fund Family will charge fees as detailed in the fund prospectuses. **An increased transaction fee applies to your purchases of funds from certain fund families that do not pay TD Ameritrade for record keeping, shareholder, and other administrative services on the shares that you have purchased.

No-transaction-fee (NTF) funds (except ProFunds, Rydex, and Direxion funds) held 180 days or less are subject to a Short-Term Redemption fee of \$49.99. This fee is in addition to any applicable transaction fees or fees addressed in the fund's prospectus.

TD Ameritrade receives remuneration from mutual fund companies, including those participating in its no-load, NTF program for recordkeeping, shareholder services, and other administrative and distribution services. The amount of TD Ameritrade's remuneration for these services is based in part on the amount of investments in such funds by our clients. NTF mutual funds and other funds offered through TD Ameritrade have other fees and expenses that apply to a continued investment in the fund and are described in the prospectus.

Options

Equity or Index, Market, and Limit Orders	Price
Internet	\$0.00 + \$0.65 fee per contract
Interactive Voice Response (IVR) Phone System	\$5.00 + \$0.65 fee per contract
Broker-Assisted	\$25.00 + \$0.65 fee per contract

Please note: No exercise or assignment fees. Options involve risks and are not suitable for all investors.

Index Option Fee

Underlying Symbol	Description	Premium	Expiration	Fee Per Contract
SPX	S&P 500 Index	<\$1	Monthly	\$0.57
SPX	S&P 500 Index	>=\$1	Monthly	\$0.66
SPXW	S&P 500 Index	<\$1	Weekly/Quartely	\$0.49
SPXW	S&P 500 Index	>=\$1	Weekly/Quartely	\$0.58
OEX	S&P 100 Index (American-style exercise)	All	Monthly/Quartely	\$0.40
OEX	S&P 100 Index (American-style exercise)	All	Weekly	\$0.30
XEO	S&P 100 Index (European-style exercise)	All	Monthly/Quartely	\$0.40
XEO	S&P 100 Index (European-style exercise)	All	Weekly	\$0.30
VIX	Cboe Market Volatility Index	<=\$0.10	All	\$0.05
VIX	Cboe Market Volatility Index	\$0.11-\$0.99	All	\$0.17
VIX	Cboe Market Volatility Index	\$1-\$1.99	All	\$0.30
VIX	Cboe Market Volatility Index	>=\$2	All	\$0.45
RUT	Russell 2000 Index	All	All	\$0.18

Please note: The Index Option Fees are fees associated with Proprietary Index Option products provided by the Chicago Board Options Exchange (Cboe) and are subject to change without notice. A copy of the Cboe Options Exchange Fee Schedule can also be found on www.cboe.com.

Bonds and CDs

Product Type	Price
Treasuries at Auction	Available Commission Free
Bond and CD Trades	On a net yield basis

TD Ameritrade may act as principal on any fixed-income transaction. When acting as principal, we will add a markup to any purchase, and subtract a markdown from every sale. This markup or markdown will be included in the price quoted to you.

Futures & Forex

Futures & Options on Futures \$2.25 fee per contract (plus exchange & regulatory fees)

Note: Exchange fees may vary by exchange and by product. Regulatory fees are assessed by the National Futures Associations (NFA) and are currently \$0.02 per contract. All prices are shown in U.S. Dollars.

Forex \$0.00*

*No commission, however the cost of the trade is reflected in the bid/ask spread.

Note: Futures, futures options, and forex trading services provided by TD Ameritrade Futures & Forex LLC. Trading privileges subject to review and approval. Not all clients will qualify. Forex accounts are not available to residents of Ohio or Arizona.

Fee Schedule

Service Fees†

Certificate Withdrawal Delivery††	\$500
Checking	
Checkbook Reorder	No charge/100, IRA No charge/20
Copy of Check	\$0
Miscellaneous	
Overnight Delivery	Billed at cost
Stop Payment	\$0
Reorganization	
Mandatory	\$38
Voluntary Tender Offer	\$0

Service Fees Continued

Statements and Trade Confirmations	
Electronic Statements	No charge
Paper Monthly Statements by U.S. Mail†	No charge: accounts with liquidation value ≥ \$10k \$2: accounts with liquidation value < \$10k
Paper Quarterly Statements by U.S. Mail	No charge: accounts with liquidation value ≥ \$10k \$2: accounts with liquidation value < \$10k
Paper Trade Confirmations by U.S. Mail	No charge
Replacement Paper Statements by U.S. Mail	\$5
Replacement Paper Trade Confirmations by U.S. Mail	\$5
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Transfers	
Outbound Full Account Transfer	\$75
Outbound Partial Account Transfer	No Charge
Wires (Outgoing) Domestic/International	\$25

Exception Fees

Forced Margin Sellout	\$25 + broker commission
Foreign Security	\$15 + broker commission and all applicable local market charges
Removal of Non Marketable Security	No charge
Mutual Fund Short-Term Redemption Fee	\$49.99
Alternative Investments (AI) Custody Fee	\$250 per position
Alternative Investments (AI) Transaction Fee	\$100 per transaction
Regulation T Extension	\$25
Restricted Security Processing	\$250
Returned Check/Electronic Funding	\$25
Hard to Borrow Fees	Pricing Varies
American Depository Receipt (ADR) Fees	Pricing Varies

Note: Special circumstances may apply to retirement accounts, depending on the fee being charged.

Regulatory Fees##

"Section 31" Fee ¹	\$0.0000229 per \$1.00 of transaction proceeds
Options Regulatory Fee ²	\$0.009946 per options contract
Trading Activity Fee ³	\$0.000130 per equity share \$0.00218 per options contract \$0.00082 per bond \$0.00000082 x value per asset-backed security trade

All prices shown in U.S. dollars. Commissions and fees may vary by program, location, or arrangements and are subject to change upon 30 days' notice to you.

† All service fees are subject to change.

†† Certificate requests requiring extraordinary processing, including certificates for foreign securities and requests to break certificates into multiple denominations, may incur additional charges, which will be passed through to your account.

‡ Some accounts, such as options-approved accounts, are required to receive monthly statements either electronically (free) or via U.S. mail.

Regulatory fees are paid by TD Ameritrade and charged to client accounts and are subject to change without notice.

1 Applies to certain sell transactions, assessed at a rate consistent with Section 31 of the Securities Exchange Act of 1934. TD Ameritrade remits these fees to certain self-regulatory organizations and national securities exchanges, which in turn make payment to the SEC. These fees are intended to cover the costs incurred by the government, including the SEC, for supervising and regulating the securities markets and securities professionals. The rate is subject to annual and mid-year adjustments which may not be immediately known to TD Ameritrade; as a result, the fee assessed may differ from or exceed the actual amount of the fee applicable to your transaction. Any excess may be retained by TD Ameritrade. Fees are rounded to the nearest penny.

2 This fee varies by options exchange, where an options trade executes, and whether the broker responsible for the trade is a member of a particular exchange. As a result, TD Ameritrade calculates a blended rate that equals or slightly exceeds the amount it is required to remit to the options exchanges.

3 This fee is assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Laws for trading activity. Current rates are: \$0.000130 per share for each sale of a covered equity security, with a maximum charge of \$6.49 per trade; \$0.00218 per contract for each sale of an option; \$0.00082 per bond for each sale of a covered TRACE-Eligible Security (other than an Asset-Backed Security) and/or municipal security, with a maximum charge of \$0.82 per trade; and \$0.00000082 times the value, as reported to TRACE, of a sale of an Asset-Backed Security, with a maximum charge of \$0.82 per trade. Fees are rounded to the nearest penny.

Investment Products: Not FDIC Insured * No Bank Guarantee * May Lose Value

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