

Individual Retirement Account (IRA) Application

個人退休賬戶(IRA)申請表



Return Options 寄回方式:

Electronically via Message Center
從訊息中心電子遞送:

Log in and go to Client Services >
Message Center to attach the file
登入并進入客戶服務>訊息中心·將
文件加為附件

Regular Mail 常規郵寄:

PO Box 2760, Omaha, NE
68103-2760

Overnight Mail 隔夜郵寄:

200 South 108th Avenue Omaha, NE
68154-2631

Fax 傳真: 866-468-6268

Questions? Call a New Accounts representative at 800-276-8746.
Please visit us at www.tdameritrade.com for more information
about opening an account.

有疑問? 致電800-276-8746給新賬戶客服代表。
請訪問chinese.tdameritrade.com獲取關於開設
賬戶的更多信息。

Note: Form must be completed in English. Forms completed in any
other language will not be accepted. In case of any discrepancy
between the English and Chinese version of this form, the English
version shall prevail.

請注意: 表格必須用英文填寫。用其他文字填寫的
表格將不會被接受。若本表格的英文與中文版本內
容有任何差異, 以英文版本為準。

1 Type of IRA 賬戶類型

Please
select
only
one
單選

- Traditional (Individual Contributory) IRA** – A tax-deferred savings plan. Contributions may be tax-deductible. All distributions are subject to taxes when withdrawn.
傳統 (個人供款) IRA賬戶 – 一種稅務延遲儲蓄計劃。供款可能可以抵稅。提款時的所有分配將需要繳稅。
- Roth IRA** – A savings plan like the Traditional IRA. Contributions are not tax-deductible, but qualified distributions are federal-tax-free.
羅斯 IRA賬戶 – 一種類似于傳統IRA計劃的儲蓄計劃。供款不能抵稅, 但是合格分配可以免聯邦稅。
- Rollover (Non-Contributory) IRA** – An IRA that receives money from a qualified employer plan, such as a 401(k). This IRA retains equity until it is rolled over into another IRA or qualified employer plan.
滾存 (非供款) IRA賬戶 – 從合格雇主計劃(如401(k))中接收資金的IRA賬戶。該IRA會保留淨資產, 直到將其滾存至另一個IRA賬戶或合格雇主計劃中為止。
- (SEP) Simplified Employee Pension Plan IRA** – An employer-run savings plan. The employer has adopted the 5305-SEP plan.
(SEP)簡化雇員退休賬戶 – 由雇主掌管的儲蓄計劃。雇主已經采用的是5305-SEP計劃。
- (SIMPLE) Savings Incentive Match Plan for Employees IRA** – An employer-run savings plan that is in the form of an IRA. The employer has adopted either the 5304-SIMPLE or 5305-SIMPLE plan.
(SIMPLE) 簡單個人退休賬戶 – 由雇主掌管、IRA形式的儲蓄計劃。雇主已經采用的是5304-SIMPLE 或5305-SIMPLE計劃。

2 Account Owner Information 賬戶持有人信息

Name Prefix (optional): 姓名前綴(非必填): Mr. 先生 Mrs. 女士 Ms. 小姐 Dr. 博士 Rev. 牧師

First Name 名:

Middle Name 中間名:

Last Name 姓:

Date of Birth
出生日期:

Number of Dependents
撫養人數:

U.S. Social Security Number
美國社會安全號碼:

Mother's Maiden Name
母親的婚前姓:

Home Address 家庭地址 (不可使用PO Box或mail drop):

City 城市:

State 州:

Zip Code 郵政編碼:

Country 國家:

Mailing Address 郵寄地址 (if different from above 如果與以上不同):

City 城市:

State 州:

Zip Code 郵政編碼:

Country 國家:



Section 2, Account Owner Information continued 繼續第2節賬戶持有人信息

Primary Phone number 主要電話號碼:

- Check here if this is not a U.S. phone number
如果不是美國電話號碼，請勾選這裏

Secondary Phone number 次要電話號碼:

- Check here if this is not a U.S. phone number
如果不是美國電話號碼，請勾選這裏

Fax Number 傳真號碼:

Email 電子郵件

(required for electronic delivery of your account statement and trade confirmations 用于電子遞送您的賬戶對賬單和交易確認):

Please specify if you are 請注明您是:

- Employed 就業 Unemployed 失業 Retired 退休 Homemaker 家管 Student 學生 Self-Employed 自雇

Employer Name 雇主名稱 (If Self Employed, provide the name of your business 如果是自雇人士，請提供您的企業名稱):

Please choose from the list provided on page 13 the occupation code and industry of occupation code that most accurately describes your situation.
請從第13頁提供的清單中選擇最準確描述您情況的職業代碼和行業代碼。

Occupation Code 職業代碼:

Industry of Occupation Code 職業所屬行業代碼:

Employer Address 雇主地址:

City 城市:

State 州:

Zip Code 郵政編碼:

Country 國家:

Annual Income 年收入:

- \$0 - \$24,999 \$25,000 - \$49,999 \$50,000 - \$99,999 \$100,000 - \$249,999 \$250,000+

Approximate net worth 大概淨資產 (not including primary residence 不包括主要住所):

- \$0 - \$14,999 \$15,000 - \$49,999 \$50,000 - \$99,999 \$100,000 - \$249,999
 \$250,000 - \$499,999 \$500,000 - \$999,999 \$1,000,000 - \$1,999,999 \$2,000,000+

Approximate liquid net worth 大概流動資產淨值 (cash, stocks, etc. 現金、股票等):

- \$0 - \$14,999 \$15,000 - \$49,999 \$50,000 - \$99,999 \$100,000 - \$249,999
 \$250,000 - \$499,999 \$500,000 - \$999,999 \$1,000,000 - \$1,999,999 \$2,000,000+

What best describes the initial source of funds for this account? 此賬戶首筆存款的資金來源是？

- Employment/Wages 就業/工資 Retirement Funds 退休資金 Gift 贈與 Legal Settlement 法律判決
 Inheritance/Trust 繼承/信托 Investments 投資 Spousal/Parental Support 配偶/父母援助 Unemployment/Disability 失業救濟/殘疾撫恤
 Lottery/Gaming 博彩收益 Savings 儲蓄
 Other 其它 (describe source of funds 描述資金來源):

What best describes the ongoing source of funds for this account? 此賬戶持續存款的資金來源是？

- Employment/Wages 就業/工資 Retirement Funds 退休資金 Gift 贈與 Legal Settlement 法律判決
 Inheritance/Trust 繼承/信托 Investments 投資 Spousal/Parental Support 配偶/父母援助 Unemployment/Disability 失業救濟/殘疾撫恤
 Lottery/Gaming 博彩收益 Savings 儲蓄
 Other 其它 (describe source of funds 描述資金來源):

- Check here if you are **NOT** a U.S. citizen.
如果您不是美國公民，請勾選這裏。

Country of Citizenship 國籍:

Country of Dual/Secondary Citizenship (if applicable):
雙重/第二國籍(如適用):

Country of Birth 出生國家:

Non-U.S. citizens*: Do you hold a current U.S. immigration visa? 非美國公民*: 您現在持有美國移民簽證嗎? Yes 是 No 否

Specify visa type 簽證類別:

Visa Number 簽證號碼:

Expiration 到期日:

* Nonresident aliens must submit a W-8BEN form, a copy of a current passport, and a copy of a bank or brokerage statement. If a U.S. address is listed, then attach a Letter of Explanation for U.S. Mailing Address/Phone Number for Form W-8. This form can be found on the TD Ameritrade Forms Library: <https://www.tdameritrade.com/form-library>.

* 非居民外國人必須提交W-8BEN表格、當前護照複印件以及銀行或券商對賬單的複印件。如果美國地址在以上列出，請在W-8表格附上美國郵寄地址/美國電話號碼說明信。此表格可以在德美利證券的表格中心裏找到：https://www.tdameritrade.com/zh_TW/form-library。

Section 2, Account Owner Information continued 繼續第2節賬戶持有人信息

Check here if you, your spouse, any member of your immediate families living in the same household, including parents, in-laws, siblings, and dependents is a member of the board of directors, 10% shareholder, or policy-making officer of a publicly traded company. Specify the company name, ticker symbol, address, city, and state:

如果您、您的配偶以及任何居住在同一家庭的直系親屬，包括父母、姻親、兄弟姐妹和家屬是董事會成員、10%股東或上市交易公司的政策執行官，請勾選這裏。請標明公司名稱、股票代號、地址、城市和所在州：

Check here if you, your spouse, any member of your immediate families living in the same household, including parents, in-laws, siblings, and dependents is licensed, employed by, or associated with, a broker-dealer firm, a financial services regulator, securities exchange, or member of a securities exchange. If checked, please specify entity below. If this entity requires its approval for you to open this account, please provide a copy of the required authorization letter (with this application):

如果您、您的配偶以及任何居住在同一家庭的直系親屬，包括父母、姻親、兄弟姐妹和家屬是券商公司、金融服務機構、證券交易所或證券交易所成員雇用或相關的持照者，請勾選這裏。如果勾選，請在下方標明實體。如果實體要求您在開戶時經過審批，請提供所要求的授權書副本(連同此申請書)。

3

Investment Objectives 投資目標

For definitions regarding investment objectives, please see page 12 of the application 有關投資目標的定義，請參閱申請書的第12頁。

Select the degree of risk you are willing to take with the assets in this account 請選擇對於此賬戶中的資產，您願意承受的風險程度：

- Conservative 保護型 Moderate 適中型 Aggressive 激進型 Speculative 投機型

Select the primary investment objective for the account: 請選擇此賬戶的主要投資目的：

- Conservation 保護型 Moderate 適中型 Moderate Growth 適中增長型 Growth 增長型 Aggressive Growth 激進增長型

Select the secondary investment objectives for the account (Check at least one or all that apply): 請選擇此賬戶的次要投資目的 (請選擇至少一項或所有適用選項)：

- Conservation 保護型 Moderate 適中型 Moderate Growth 適中增長型 Growth 增長型 Aggressive Growth 激進增長型 None 無

Select your liquidity needs for this account (Check only one that applies):

選擇您賬戶的流動性需求 (僅選擇適用的一項)：

- Within 3 months 3個月以內 4 - 6 months 4 - 6個月 7 - 9 months 7 - 9個月 10 - 12 months 10 - 12個月 More than 1 year 超過1年

Select the investment time horizon for this account: 請選擇此賬戶的投資時間範圍：

- Less than 1 year 少于1年 1 - 3 years 1 - 3年 4 - 6 years 4 - 6年 7 - 9 years 7 - 9年 10 - 12 years 10 - 12年 13 years or more 13年或以上

4

Margin Privileges 融資融券權限

All qualified accounts are opened as margin-eligible accounts unless you decline margin privileges in this section.

除非您在下方拒絕融資融券權限，所有合格賬戶均以融資融券賬戶開設。

To learn more about the use of margin in a retirement account and the associated risks involved, read the Margin Account Handbook and the Margin Disclosure Document located within the forms library: https://www.tdameritrade.com/form-library.

要詳細瞭解退休賬戶中融資融券的使用以及涉及的相關風險，請閱讀表格中心裏的融資融券賬戶手冊和保證金披露文件：https://www.tdameritrade.com/zh_TW/form-library。

Check this box if you want to decline margin privileges. If you do not check the box, your account will be opened as a margin account if it qualifies. By submitting this Account Application without checking the box to decline margin privileges, you represent that you understand and agree that margin features are subject to the terms and conditions of the Client Agreement, which you have agreed to by submitting this Account Application. You understand and acknowledge that securities securing loans from TD Ameritrade may be lent to TD Ameritrade and lent by TD Ameritrade to others. You also acknowledge that if you trade "on margin" you are borrowing money from TD Ameritrade and that you understand the requirements and risks associated with margin as summarized in the Margin Account Handbook and Margin Disclosure Document.

勾選此框，以拒絕融資融券權限。如果您不勾選此框，您的賬戶若合格則將以融資融券賬戶開設。通過提交沒有勾選拒絕融資融券權限的本賬戶申請，表示您瞭解并同意融資融券特性受客戶協議條款與規定的約束，且通過提交此賬戶申請書，您已同意這些條款和規定。您理解并承認，用于德美利證券抵押貸款的證券可能會貸給德美利證券，并可能由德美利證券貸給他人。您還承認，如果您以“融資融券(保證金)”方式進行交易，則是從德美利證券借錢，并且您瞭解融資融券賬戶手冊和融資融券披露聲明文件中概述的與融資融券相關的要求和風險。

5

Funding Your Account 為您的賬戶注資

- Regular contribution* 常規供款*
 - Current tax year 當前納稅年度
 - Previous tax year 上一個納稅年度
- Direct transfer from another IRA** 從其他IRA賬戶直接轉移**
- Direct rollover** – Irrevocable Qualifying Direct Rollover from my employer’s plan 401(k), 403(b), profit-sharing plan, etc.
OR 60-Day rollover** – Account Owner agrees to be bound by the election of this deposit as an Irrevocable Qualifying Rollover and attests to the following: the funds deposited do not contain any amounts from a Required Minimum Distribution; are being deposited within the allowable 60-day time period; and this is the only rollover by the Account Owner within the last 12 months.

直接滾存** – 從我的雇主的計劃401(k)、403(b)、利潤分享等計劃中進行不可撤銷的、合格的直接滾存。

或60天滾存** - 賬戶持有人同意接受此存款作為不可撤銷、合格的滾存選擇，并保證以下內容：存入的資金不包括最低分配要求(RMD)的金額；在允許的60天期限內存款；這是賬戶持有人在過去12個月內唯一的一次滾存。

* If no box is checked, the contribution will be reported as a current-year contribution. **Per IRS regulations, securities cannot be used to satisfy personal or employer contributions.**

* 如果沒有選框被勾選，那麼供款將作為當年供款申報。根據國稅局規定，不能將證券作為個人或雇主的供款。

**How are a transfer and a rollover different? A rollover is the result of a distribution from a qualified plan or IRA. A transfer of an IRA is the result of a direct transfer from one institution to another. Contact the sending financial institution if you have questions about how your funds are being processed.

**轉移和滾存有什麼不同？滾存是由合格計劃或IRA分配的結果。IRA的轉移是由一個機構直接轉移到另一個機構的結果。如果您對如何處理您的資金有疑問，請聯繫轉出的金融機構。

6

Designation of Beneficiary 受益人指定

You must designate at least one primary beneficiary. If you select coprimary beneficiaries, indicate the percentage of your account you are designating to each. If a primary beneficiary dies prior to the Account Owner, the remaining portion shall be payable proportionately to any surviving primary beneficiaries. You may also designate contingent beneficiaries in the event that your primary beneficiaries do not outlive you. In the event that TD Ameritrade is unable to identify the beneficiaries from the documents provided, the Custodial Agreement will control.

您必須至少指定一名主要受益人。如果您選擇共同主要受益人，請指明您賬戶指定給每個人的百分比。如果主要受益人先于賬戶持有人去世，則剩餘部分應按比例分配給任何尚存的主要受益人。如果您的主要受益人先您去世，您也可以指定次要受益人。如果德美利證券不能從所提供的文件中確定受益人，則由監管協議來控制。

State trust law may vary as to the legality of IRA beneficiaries naming subsequent beneficiaries. Please consult a qualified tax advisor or attorney regarding the applicable trust law for your state of residence. If you name a trust, entity or estate as your beneficiary, additional documentation and certifications will be required to request distribution beyond the named trust, entity, or estate.

IRA受益人命名的後續受益人的合法性依照各州的信托法可能會有所不同。請諮詢合格的稅務顧問或律師，以瞭解您所居住州的適用信托法。如果您命名信托、實體或遺產為受益人，那麼您將需要提供其他文件和證明以申請指定信托、實體或產業之外的分配。

If you are married and live in a state with community property statutes and do not designate your spouse as the sole beneficiary, you represent and warrant that your spouse has consented to such designation. Percentages must total 100% for all primary beneficiaries and 100% for all contingent beneficiaries. If percentages are not indicated, they will be deemed equal shares. If percentages indicate an attempt to distribute as equal shares, but do not add up to 100%, the first named beneficiary will receive a slightly higher percentage (for instance, if you indicate 33%, 33.3%, or 33.33% for all three beneficiaries, TD Ameritrade will round the first beneficiary’s percentage up to 33.34% and the other two beneficiaries will each receive 33.33%). Further, when securities cannot be evenly distributed, or there are unclaimed securities, the Account Owner requests that such securities be liquidated and any proceeds from the liquidation be distributed in the percentages requested to the named Beneficiaries.

如果您已婚且居住在有共有財產共同賬戶規定的州，且沒有指定您的配偶為唯一受益人，那麼您聲明并擔保您的配偶已經同意這樣的指定。所有主要受益人的百分比總和必須為100%，且所有次要受益人的總和為100%。如果沒有指定百分比，則表示是平均分配。如果百分比顯示試圖平均分配，但是總和不等于100%，那麼第一個指定的受益人將獲得略高的百分比(例如，如果您指示全部三個受益人分別為33%、33.3%和33.33%，德美利證券將第一個受益人的百分比調整為33.34%，其他兩個受益人每人將分得33.33%)。此外，如果證券不能平均分配，或者有無認領證券時，賬戶持有人要求清倉這些證券，且清倉所得的任何收益將按照請求的百分比分配給指定受益人。

Subject to the condition(s) set forth in this section, I designate the following as the beneficiary(ies) of my IRA:

根據本節規定的條件，我指定以下人員為我IRA的受益人：所有受益人信息均為必填。

All Beneficiary information is required. Please complete all fields.

請填寫下表中的每一格。

Designate your Primary Beneficiary(ies) 指定您的主要受益人

Primary Share % 主要受益人比率 %	Beneficiary Is: <input type="radio"/> An Individual 個人 <input type="radio"/> A trust 信托 <input type="radio"/> Other (custodianship, charity, corporation, etc.) 其他(托管、慈善、公司等)	<input type="radio"/> Per Stirpes 按家系繼承
	Beneficiary's Name 受益人姓名: _____ Relationship 關係: _____	SSN美國社會安全號碼 / TIN號碼: - - - - - OR - 或者 Date of Birth 出生日期 / UA Date協議日期 / Date of Formation成立日期 : - - - - -
	Beneficiary Is: <input type="radio"/> An Individual 個人 <input type="radio"/> A trust 信托 <input type="radio"/> Other (custodianship, charity, corporation, etc.) 其他(托管、慈善、公司等)	<input type="radio"/> Per Stirpes 按家系繼承
	Beneficiary's Name 受益人姓名: _____ Relationship 關係: _____	SSN美國社會安全號碼 / TIN號碼: - - - - - OR - 或者 Date of Birth 出生日期 / UA Date協議日期 / Date of Formation成立日期 : - - - - -
	Beneficiary Is: <input type="radio"/> An Individual 個人 <input type="radio"/> A trust 信托 <input type="radio"/> Other (custodianship, charity, corporation, etc.) 其他(托管、慈善、公司等)	<input type="radio"/> Per Stirpes 按家系繼承
	Beneficiary's Name 受益人姓名: _____ Relationship 關係: _____	SSN美國社會安全號碼 / TIN號碼: - - - - - OR - 或者 Date of Birth 出生日期 / UA Date協議日期 / Date of Formation成立日期 : - - - - -
	Beneficiary Is: <input type="radio"/> An Individual 個人 <input type="radio"/> A trust 信托 <input type="radio"/> Other (custodianship, charity, corporation, etc.) 其他(托管、慈善、公司等)	<input type="radio"/> Per Stirpes 按家系繼承
	Beneficiary's Name 受益人姓名: _____ Relationship 關係: _____	SSN美國社會安全號碼 / TIN號碼: - - - - - OR - 或者 Date of Birth 出生日期 / UA Date協議日期 / Date of Formation成立日期 : - - - - -
	Beneficiary Is: <input type="radio"/> An Individual 個人 <input type="radio"/> A trust 信托 <input type="radio"/> Other (custodianship, charity, corporation, etc.) 其他(托管、慈善、公司等)	<input type="radio"/> Per Stirpes 按家系繼承
	Beneficiary's Name 受益人姓名: _____ Relationship 關係: _____	SSN美國社會安全號碼 / TIN號碼: - - - - - OR - 或者 Date of Birth 出生日期 / UA Date協議日期 / Date of Formation成立日期 : - - - - -

Total: _____ % Total must add up to 100%
 總計: _____ % 總計必須為100%

7

Trade Confirmations and Account Statements

交易確認和賬戶對賬單

I understand that I will receive monthly account statements and trade confirmations electronically, unless I make a selection below. If I do not provide a valid email address, I will receive a quarterly paper statement or a monthly paper statement. Certain types of accounts or activity (such as options trading) require a monthly statement, either electronically or via U.S. mail. I will be responsible for any fees that apply. Accounts with a total liquidation value of \$10,000 or an average of five trades per month over a three-month period are eligible to receive free paper statement and confirmation delivery.

If I elect to receive either electronic statements or electronic confirmations, I will receive shareholder information electronically when available.

我瞭解，除非我在下面做出選擇，否則我將以電子方式接收賬戶對賬單和交易確認單。如果我沒有提供有效的電子郵件地址，我將收到紙質季度對賬單或每月對賬單。某些類型的賬戶或活動(如期權交易)要求有電子或郵寄方式的每月對賬單。我將對相應的任何費用負責。淨清倉資產總額為10,000美元或在3個月內平均每月有五筆交易的賬戶將有資格獲得免費紙質對賬單和交易確認單的遞送。

如果我選擇接收電子對賬單或電子確認單，當有股東信息時我將以電子方式接收。

Account Statement 賬戶對賬單:

- Electronic Monthly 每月電子遞送 Paper Monthly (\$2 fee may apply each month) 每月紙質遞送(每月可能有\$2費用) Paper Quarterly (\$2 fee may apply each quarter) 每季紙質遞送(每季度可能有\$2費用)

Trade Confirmation 交易確認單:

- Electronic 電子遞送 Paper 紙質遞送

- Unless I have checked this box, TD Ameritrade is required to share my name and address with the companies I invest in through your services so they may contact me directly about my investment. If I direct you not to share, you will receive the information on my behalf and will forward it to me. Shareholder information includes proxy material, prospectuses, annual reports, and other corporate communications. In some cases, regulations may require sharing information with the companies in which I have invested despite this election.

除非我勾選此框，否則德美利證券將按照要求把我的名字和地址提供給通過您的服務我所投資的公司，以便他們可以就我的投資直接與我聯繫。如果我指示您不得分享我的個人信息，您將代表我接收該信息并將其轉發給我。股東信息包括代理材料、招股說明書、年度報告和其他公司通訊。在某些情況下，法規要求共享我的信息給我所投資的公司，則為此選項的例外情況。

8

Cash Sweep Vehicle

現金轉存賬戶

My uninvested cash will be deposited in the **TD Ameritrade FDIC Insured Deposit Account (IDA)** as a part of the Cash Balance programs. See the Client Agreement for a complete description of the Cash Sweep program. **Other sweep choices are available for clients with household values greater than \$500,000 and cash balances of more than \$100,000.** I understand my account statement will include sweep transactions involving bank deposits or money market funds in lieu of immediate trade confirmations.

我未投資的現金將作為現金轉存計劃的一部分被存入德美利證券FDIC保險的存款賬戶(IDA)中。請參閱客戶合約瞭解現金轉存計劃的完整說明。對於家庭資產價值大于50萬美元以及現金餘額超過10萬美元的客戶，可以選擇其它的轉存方式。我知道我的賬戶對賬單將包括涉及貨幣市場基金的轉存交易，用于代替即時交易確認。

9

Offer Code (Optional)

優惠代碼 (非必填)

By entering an offer code in this field, you represent and warrant that you have read and agree to the applicable Offer Terms & Conditions. If the offer code you enter is invalid, no offer will be applied to your account. If you have questions regarding offer codes, please call 1-800-454-9272.

通過在此處輸入優惠代碼，您聲明并保證您已閱讀并同意適用的優惠條款和規定。如果您輸入的優惠代碼無效，優惠將不會被應用到您的賬戶中。如果您有關於優惠代碼的問題，請致電1-800-454-9272，中文服務請致電877-888-1238。

Offer Code 優惠代碼: _____

10

Trusted Contact (Optional)

可信任連絡人(非必填)

By completing this section, you authorize TD Ameritrade to contact the person(s) named below for the following reasons: if there are questions or concerns about my whereabouts or health status; if TD Ameritrade suspects that I may be a victim of fraud or financial exploitation; if TD Ameritrade suspects that I might no longer be able to handle my financial affairs; to confirm the identity of any legal guardian, executor, trustee, authorized trader, or holder of a power of attorney; or if TD Ameritrade has any other concerns or is unable to contact me about my account(s) held at TD Ameritrade. **Please review the Client Agreement for the full terms and conditions regarding how TD Ameritrade uses this information.**

NOTE: Your Trusted Contact must be someone other than an account owner. You may provide more than two Trusted Contact Persons by completing and signing additional Authorization Forms.

通過填寫本節，您授權德美利證券可以通過以下理由與下列人員聯繫：如果對我的下落或健康狀況有疑問或疑慮；如果德美利證券懷疑我可能是欺詐或金融剝削的受害者；如果德美利證券懷疑我可能不再有能力處理我的財務事務；為確認任何法定監護人、執行人、受託人、授權交易人或委託書持有人的身份；或者如果德美利證券對於我在德美利證券的賬戶有任何其它問題或無法聯繫到我。請查閱客戶協議瞭解有關德美利證券如何使用此信息的完整條款和規定。

注意：您的可信任連絡人不可以是賬戶所有人。您可以通過填寫和簽署額外的授權表格提供兩個以上的可信任連絡人。

First Name 名: _____ Middle Initial 中間名首字母: _____ Last Name 姓: _____

Relationship 關係: _____

Phone Number 電話號碼: _____ Email 電子郵件: _____

Mailing Address 郵寄地址: _____

City 城市: _____ State 州: _____ Zip Code 郵政編碼: _____ Country 國家: _____

First Name 名: _____ Middle Initial 中間名首字母: _____ Last Name 姓: _____

Relationship 關係: _____

Phone Number 電話號碼: _____ Email 電子郵件: _____

Mailing Address 郵寄地址: _____

City 城市: _____ State 州: _____ Zip Code 郵政編碼: _____ Country 國家: _____

11

Options Account 期權賬戶

Due to the risks involved in options, we are required to obtain the following information. The income information above must be completed to be considered for options.

由于期權所涉及的風險，我們需要獲得以下信息。收入信息必須完成才可能獲得使用期權的權利。

- Check this box to decline options privileges.
勾選此框，拒絕期權權限。

Options Objectives (Only required if applying for options.) 期權目標 (僅在申請期權時須填寫此節)

For definitions regarding options objectives, please see page 12 of the application 有關期權目標的定義，請見申請表第 12 頁。

Types of Transactions: (Check all that apply.)
交易類型: (勾選所有適用項。)

- Stocks 股票
- Bonds 債券
- Options 期權

What Are Your Options Investment Objectives? (Check all that apply.)
您的期權投資目標是? (勾選所有適用項。)

- Growth 增長型
- Speculation 投機型
- Income 收入型
- Conservation of Capital 資本保護型

What Type of Activity Do You Plan to Conduct in Your Options Account?
您計劃在您的期權賬戶中進行哪種類型的活動?

- Tier 1 - Covered
Write covered calls
Write cash-secured puts
1級- 持保
賣出持保買權
賣出現金擔保的賣權
- Tier 2 - Standard Cash
Purchase options
+ Tier 1 - Covered
2級- 標準現金
買入期權
+ 1級- 持保
- Tier 2 - Standard Margin
Create spreads
Write covered puts
+ Tier 2 - Standard Cash
2級- 標準融資融券
創建價差
賣出持保賣權
+ 2級- 標準現金

MARGIN REQUIRED

Tier 2 - Standard Margin requires a margin account. If you select this tier, you will automatically be applying for options and margin approval regardless of whether you checked the box to decline margin privileges in Section 4.

要求是融資融券賬戶

2級 - 標準融資融券要求是融資融券賬戶。如果您選擇了這一級，即使您在第4節中勾選了拒絕融資融券權限，您也將自動申請期權和融資融券權限。

Account Owner Options Objectives (Only required if applying for options.) 賬戶持有人期權目標 (僅在申請期權時須填寫此節)

Years of Investment Experience 投資經驗年數:

- Less than 1 year 少于1年
- 1 - 2 years 1 - 2 年
- 3 - 5 years 3 - 5 年
- 6 - 9 years 6 - 9 年
- 10+ years 10 年以上

Investment Knowledge or Education 投資知識或教育:

- Limited 有限
- Good 良好
- Extensive 豐富
- Professional Trader 專業交易者

12 Individual Retirement Account Agreement 個人退休賬戶協議

I am establishing an Individual Retirement Account (IRA) Plan under the TD Ameritrade Clearing, Inc. (Custodian) Prototype Individual Retirement Plan and Custodial Agreement, which is incorporated by reference. I understand that the account is subject to rules and regulations of the United States Internal Revenue Service, and that the funding of the account may have significant tax and financial consequences. I accept responsibility for the information contained in this application and affirm such information is true and correct. I agree to indemnify and hold harmless TD Ameritrade, Inc. and TD Ameritrade Clearing, Inc. from any and all liability and claims for damages resulting from any action taken pursuant to this Agreement.

I designate TD Ameritrade Clearing as Custodian and make the following declaration: Having received and read the Custodial Agreement, I understand that the Custodian will invest and reinvest my account assets only with written direction from me or from a properly appointed investment manager. This document constitutes my authority to execute all trades for my IRA. Confirmations and statements will verify such instructions. All securities, dividends, and proceeds will be held at TD Ameritrade Clearing unless otherwise instructed.

For Individual (Contributory) IRAs: I direct TD Ameritrade Clearing to maintain my deductible, rollover, and direct rollover contribution(s) in a Contributory IRA.

If a nonresident alien, I declare that I have “earned income” actually and actively earned within the United States. Earned income does not include, among other things, money earned from property, interest or dividend income, or money received from a pension or annuity, as deferred compensation or as a deferred incentive award.

I understand this Designation of Beneficiary will be effective on the date received by the Custodian. This Designation of Beneficiary will remain in full force and effect until such time as the Custodian is in actual receipt of a written revocation or change of beneficiary signed by me and in such form and substance as the Custodian deems necessary. If I change the beneficiaries, all previously designated beneficiaries no longer have the right to receive benefits under this Agreement.

I understand that nondeposit investments purchased through TD Ameritrade are not insured by the Federal Deposit Insurance Corporation (FDIC), are not obligations of or guaranteed by any financial institution, and are subject to investment risk and loss that may exceed the principal invested. Unless I have declined the margin feature, I acknowledge that securities securing loans from TD Ameritrade may be lent to TD Ameritrade and lent by TD Ameritrade to others. I also acknowledge that if I trade “on margin,” I am borrowing money from TD Ameritrade and that I understand the requirements and risks associated with margin as summarized in the Margin Handbook and Margin Disclosure Document.

Important information about procedures for opening a new account:

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth, and other information that will allow us to identify you. We may also utilize a third-party information provider for verification purposes and/or ask for a copy of your driver's license or other identifying documents. By my signature below, I attest that I am of legal age to contract, and I certify, to the best of my knowledge that the information provided on this application is complete and correct.

This application provides for the deposit of funds or securities into the account. I understand that the funding of this account is subject to the rules and regulations of the U.S. Internal Revenue Service and that my failure to abide by such rules and regulations may have important and possibly irrevocable tax and financial consequences. I attest that the funding information provided is true and correct, authorize TD Ameritrade to deposit the funds or securities according to the funding instructions, and assume full responsibility for this funding transaction. I release and agree to indemnify and hold harmless TD Ameritrade Clearing from any and all liability and claims for damages from any adverse consequences that may result.

我根據TD Ameritrade Clearing, Inc.(監管人)在此參照的原個人退休計劃和監管協議, 建立個人退休賬戶(IRA)計劃。我瞭解這個賬戶受到美國國稅局規定和條例的約束, 賬戶的注入資金可能會有重大稅收和財務後果。我接受此申請中所含信息的責任并確認這些信息是真實且準確的。我同意賠償并保證德美利證券和TD Ameritrade Clearing, Inc.免于承擔因根據本協議採取的任何行動所造成的任何及所有責任和損害的索賠。

我指定TD Ameritrade Clearing為監管人并作出以下聲明: 我已收到并閱讀了“監管合約”, 我瞭解監管人只會在我或者適當指定投資管理人的書面指示下將我賬戶中的資產進行投資和再投資。此文件代表我授權在我的IRA賬戶中執行的所有交易。確認單和對賬單將確認這些指示。所有證券、股息和收益將由TD Ameritrade Clearing持有, 除非另有指示。

對於個人(供款)IRA賬戶: 我指示TD Ameritrade Clearing維護我供款IRA賬戶中的抵扣、滾存和直接轉帳供款。

如果是非居民外國人, 我聲明我確實有“賺取的收入” 并且是在美國境內主動賺取。賺取的收入不包括來自房產、利息或股息的收入, 或從養老金或年金中得到的作為延稅補償或延稅獎勵的款項。

我瞭解, 受益人的指定將在監管人收到之日起生效。受益人的指定將保持完全有效, 直至監管人實際收到我簽名的撤銷或變更受益人的書面文件, 且以監管人認為必要的其形式和內容。如果我改變了受益人, 根據本合約所有先前指定的受益人將不再有權獲得利益。

我瞭解, 通過德美利證券買入的非存款投資不受聯邦存款保險公司(FDIC)的保護, 也不由任何金融機構保證或擔保, 并且會有投資風險, 其損失有可能超出投資本金。除非我已經勾選拒絕融資融券權限, 否則我承認用于向德美利證券擔保貸款的證券, 可以借給德美利證券, 也可以由德美利證券借給他人。我也承認, 如果我進行“融資融券”交易, 我將向德美利證券借款, 并理解融資融券手冊和融資融券披露文件中概述的與融資融券相關的要求和風險。

開設新賬戶步驟的重要信息:

為了協助政府打擊恐怖分子集資和洗錢活動, 聯邦法律要求所有金融機構獲取、驗證并記錄確認每一個開戶人身份的信息。

這對您意味著什麼: 當您開設賬戶時, 我們將會向您詢問您的姓名、居住地址、出生日期, 以及其它幫助我們確認您身份的信息。出于信息確認的目的, 我們也可以使用第三方信息供應商, 或者要求您提供您的駕照或其它身份證明文件的副本。通過我在下方簽名, 我證明我具備合約的合法年齡, 并且我證明, 我盡我所知為此申請表提供完整和正確的信息。

此申請允許將資金或證券存入到賬戶中。我瞭解注資到此賬戶需要遵守美國國稅局的規定, 而且我不遵守這些規則和規定可能會產生重大的以及不可撤銷的稅收和財務後果。我證明所提供的注資信息是真實準確的, 并根據注資指示授權德美利證券存入資金或證券, 并承擔此注資交易的全部責任。我不追究并同意賠償并保證TD Ameritrade Clearing免于承擔可能因此產生的任何不利後果而造成的責任及損失的索賠。

Section 12, Account Agreement continued
繼續第12節賬戶協議

I acknowledge that I have received and read the Client Agreement, available at tdameritrade.com or by calling 800-276-8746, which will govern my account. I agree to be bound by the Client Agreement, which may be amended from time to time and which is incorporated by this reference. I release and agree to indemnify and hold harmless TD Ameritrade, Inc. from any and all liability and claims for damages resulting from any action taken pursuant to this Agreement. By my signature below, I attest that I am of legal age to sign a contract and that the information contained in this application is true and correct. I hereby request, subject to acceptance by TD Ameritrade, an account be opened in the name(s) set forth above.

If you wish to trade options in your account, complete the Options Account Section.

If an options account has been requested, I agree to abide by the rules of the listed options exchanges and the Options Clearing Corporation, and will not violate current position and exercise limits. I have received and read the Client Agreement that will govern my account, and agree to be bound by it as currently in effect and as amended from time to time. I am aware of the risks involved in options trading and represent that I am financially able to bear such risks and withstand options-trading losses.

If I am a U.S. person for tax purposes:

Under penalties of perjury, I certify that: (1) the number shown on this form is my correct taxpayer identification number; (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Services (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; (3) I am a US citizen or other US person; and (4) the FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

If I have been notified by the IRS that I am subject to backup withholding because I have failed to report all interest and dividends on my tax return, I must cross out (2) in this certification.

If I am not a U.S. Person for tax purposes:

I am submitting the applicable Form W-8 with this form to certify my foreign status.

The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

The Client Agreement applicable to this brokerage account contains a predispute arbitration clause. By signing this agreement, the parties agree to be bound by the terms of the Client Agreement, including the arbitration agreement located in Section 12 of the Client Agreement on page 17 and 18.

我承認已經收到并閱讀將用于管理我賬戶的客戶協議，其可通過 tdameritrade.com 或致電 800-276-8746 獲得。我同意遵守通過本文引用的客戶協議，以及其不時所修訂的內容。我不追究并同意賠償及保證德美利證券公司免遭因本合約採取的任何行動所導致的任何及所有責任和損失的索賠。通過在下方簽名，我證明我已經達到了法定年齡簽署合約，而且這份申請中所包含的信息是真實無誤的。我在此要求，在德美利證券接受的情況下，按照上述名稱開設賬戶。

如果您想要在您賬戶中交易期權，填寫“期權賬戶”部分。

如果我申請期權賬戶，我同意遵守期權交易所和期權清算公司的規則，并且不會違反當前倉位和行使限制。我已經收到并閱讀用于管制我賬戶的客戶協議，并同意遵守當前的有效版本以及未來可能隨時修訂的版本。我知道期權交易涉及的風險，并確認我在財務上有能力承擔此類風險并承受期權交易損失。

如果我是美國人(用于稅務目的)：

根據偽證處罰條例，我保證：(1)此表格上所示的數字為本人正確的納稅人識別號碼；(2)我不受稅務預扣的限制因為(a)我豁免于稅務提前預扣，或(b)由于沒有申報所有的利息和股息，國稅局(IRS)還沒有通知我會受提前預扣稅務的限制，或(c)國稅局已經通知我不再受提前預扣稅務的限制；(3)我是美國公民或其他美國人；以及(4)輸入此表格的FATCA號碼(如果有)表明我免受FATCA報告是準確的。

如果我已經收到國稅局的通知，由于我沒有在報稅時報告我所有的利息和股息，致使我將受到提前預扣稅務的限制，那麼我必須在此證明中將(2)劃除。

如果我不是美國人(用于稅務目的)：

我將適用的W-8表格與此表格一起遞交，以確認我的外國人身份。

除了要求避免稅務提前預扣的證明之外，美國國稅局并不要求您同意此文檔中的任何規定。

適用於此經紀賬戶的客戶協議包含了糾紛前仲裁條款。通過簽署此協議，各方同意遵守客戶協議的條款，包括在客戶條款第17頁和第18頁的第12節中的仲裁協議。

Sign Here
請在此處簽名

Original signatures are required; electronic signatures and/or signature fonts are not authorized.
 必須為原始簽名; 不接受電子簽名及/或字體簽名。

Account Owner's Signature:
 賬戶持有人簽名:

X

Date
 日期:

- -

Investment Products:
 Not FDIC Insured * No Bank Guarantee * May Lose Value

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投資產品：
 非FDIC保險 * 非銀行保證 * 可能損失價值

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Investment Objectives Definitions

Conservation: Reflects your desire to seek very low risk and minimize potential loss of principal. You may seek income from your investments while understanding that returns may not keep pace with inflation. You may also intend to invest over a short period of time.

Moderate: Reflects your desire to seek lower risk and fluctuation in your portfolio, while striving to achieve more stable returns on your investments. It may also mean that you plan to invest over a short period of time.

Moderate growth: Reflects your desire to seek growth in your portfolio by typically using a balance of growth and conservative investment types. It may also mean that you are moderately tolerant of risk and plan to invest for a medium to long period of time.

Growth: Reflects your desire to seek the potential for investment growth, as well as your tolerance for more significant market fluctuations and risk of loss. It may also mean that you plan to invest over a long period of time.

Aggressive Growth: Reflects your desire for potentially substantial investment growth, as well as your tolerance for large market fluctuations and increased risk of loss. It may also mean that you plan to invest over a long period of time.

Options Objectives Definitions

Growth: Investors are seeking the potential for investment growth and have a tolerance for more significant market fluctuations and risk of loss.

Speculation: Investors are seeking short-term market gains that generally have above average, maximum risk, but offer the potential for short-term, maximum gains. These strategies also have the potential for significant losses and investors understand they could lose most, or all, of the money they have invested.

Income: Investors are seeking income with a modest degree of risk. These investors are typically willing to accept lower potential returns in exchange for lower risk and volatility, and understand their returns may not keep pace with inflation.

Conservation of Capital: Investors are seeking to avoid risk and minimize potential loss of principal.

投資目標定義

保護型：反應了您尋求非常低的風險，且希望最小化本金的潛在損失。您希望從您的投資中獲取收入，但也理解回報可能還沒有通貨膨脹高。您也可能想要短期投資。

適中型：反應了您尋求投資組合中較低的風險和波動，但是想要在投資中獲取更穩定的回報。這也可能意味著您計劃要短期投資。

適中增長型：反應了您通常結合使用增長型和保守型投資類型來尋求投資組合中的增長。這可能也意味著您的風險承受能力適中，並計劃要中期或長期投資。

增長型：反應了您尋求潛在的投資增長，以及您有承受更大市場波動和損失風險的能力。這也可能意味著您計劃要長期投資。

激進增長型：反應了您尋求潛在的大幅投資增長，以及您能承受巨大市場波動和增加的損失風險的能力。這也可能意味著您計劃長期投資。

期權目標定義

增長型：投資人尋求潛在的投資增長，並且有承受更大市場波動和損失風險的能力。

投機型：投資人尋求通常有高于平均值最大風險，但提供潛在短期最大收益的短期市場增長。這些策略也有可能承受巨大損失且投資人應瞭解他們可能損失大部分或所有投資的資金。

收入型：投資人尋求附有中等風險的收入。這些投資人通常願意接受較低的潛在回報以換取較低風險和波動性，並理解其回報可能還沒有通貨膨脹高。

資本保護型：投資人尋求避免風險並最小化潛在本金的損失。

Occupation Codes 職業代碼

A42 Accountant/Auditor/Bookkeeper 會計/審計員/記帳員	C52 Civil Servant 公務員	M91 Mechanic 機械師
A62 Adjuster 理賠員	C62 Clergy 神職人員	M22 Military, Officer or Associated 軍事·軍官或相關人員
A82 Advertiser/Marketer/PR Professional 廣告/營銷/公關人員	C72 Clerk 職員	M32 Mortician/Funeral Director 殯儀業者/殯儀館長
A33 Air Traffic Controller 空中交通管制員	C82 Compliance/Regulatory Professional 法檢/法規專員	N21 Nurse 護士
A43 Ambassador/Consulate Professional 大使/領事館專員	C92 Consultant 顧問	O11 Office Associate 辦公室助理
A53 Analyst 分析師	C43 Counselor/Therapist 輔導員/治療師	O21 Other; If Other, include a description in the Occupation box. 其他; 如果選其他·則在職業框中填寫說明
A63 Appraiser 評估師	C53 Customer Service Representative 客服代表	P81 Pharmacist 藥劑師
A73 Architect/Designer 建築師/設計師	D11 Dealer 銷售商	P91 Physical Therapist 物理治療師
A83 Artist/Performer/Actor/Dancer 藝術家/表演家/演員/舞蹈家	D61 Dentist 牙醫	P22 Pilot 飛行員
A93 Assistant/Executive Assistant 助理/行政助理	D31 Distributor 經銷商	P32 Police Officer/Firefighter/ Law Enforcement Professional 警務人員/消防員/執法人員
A44 Athlete 運動員	D41 Doctor/Surgeon/Physician 醫生/外科醫生/醫師	P42 Politician 政客
A64 Attorney/Judge/Legal Professional 律師/法官/法務人員	D51 Driver 司機	P52 Project Manager 項目經理
A74 Auctioneer 拍賣員	E51 Engineer 工程師	R81 Real Estate Professional 房地產專員
L51 Banker/Lending Professional 銀行家/貸款專員	E71 Exterminator 滅蟲員	R71 Researcher 研究員
B21 Barber/Beautician/Hairstylist 理髮師/美容師/髮型師	F71 Factory/Warehouse Worker 工廠/倉庫工人	S41 Salesperson 銷售員
B31 Broker/Registered Rep 經紀人/持牌服務代表	F81 Farmer/Rancher 農夫/牧場主	S51 Scientist 科學家
B41 Business Executive (VP, Director, etc.) 企業高管(副總裁·總監等)	F91 Financial Planner/Advisor 財務規劃師/理財顧問	S61 Seamstress/Tailor 裁縫
B51 Business Owner 企業家	F22 Flight Attendant 飛機乘務員	S71 Security Guard 保安員
C81 Caregiver 護工	F32 Human Resources Professional 人力資源專員	S81 Social Worker 社工
C91 Carpenter/Construction Worker/ Contractor 木匠/建築工人/承包商	I41 Importer/Exporter 進口商/出口商	T41 Teacher/Professor 教師/教授
C22 Cashier 收銀員	I51 Inspector/Investigator 督察/調查員	T51 Technician 技術員
C32 Chef/Cook 廚師/廚子	I81 Investor 投資者	T61 Teller 出納員
C42 Chiropractor 脊椎治療師	I91 IT Professional/IT Associate 信息技術專業人員/IT人士	T71 Tradesperson/Craftsperson 交易員/工匠
	J31 Janitor 看門人	T81 Trainer/Instructor 教練/講師
	J41 Jeweler 珠寶商	U21 Underwriter 承銷商
	L31 Laborer 勞工	V11 Veterinarian 獸醫
	L41 Landscaper 園藝師	W21 Writer/Journalist/Editor 作者/記者/編輯

Industry of Occupation Codes 行業代碼

A11 Accounting 會計	E41 Engineering 工程	N11 Non-Profit/NGO (Non-Government Agency)/Charity 非盈利/非政府機構(NGO)/慈善
A21 Advertising/Marketing 廣告/營銷	F11 Fashion/Clothing 時尚/服裝	O31 Other; If Other, include a description in the Industry of Occupation box 其他; 如果選其他·則在職業框中填寫說明
A31 Aerospace/Defense 航空航天/國防	F21 Financial Services 金融服務	P11 Parking and Car Washes 停車場和洗車
A41 Agriculture/Forestry 農業/林業	F51 Firearms and Explosives 武器和炸藥	P21 Pawn Shops/Brokers 典當行/經紀
A51 Amusement and Recreation 娛樂休閒	G11 Gaming/Casino/Card Club 博彩/賭場/紙牌俱樂部	P31 Personal Care/Hygiene (Beauty, Salon, Cosmetics, Massage, etc.) 個人護理/衛生(美容、美髮、化妝品、 按摩等)
A61 Animal Services and Veterinary 動物服務和獸醫	G21 Government/Public Administration 政府/公共事業管理	P41 Pharmaceuticals 製藥業
A71 Architecture/Design 建築/設計	G31 Grocery/Supermarket 雜貨店/超市	P51 Printing/Publishing 印刷/出版
A81 Arts/Antiques 藝術/古董	H11 Healthcare/Medical Services 醫療保健/醫療服務	P71 Professional/Civic Organizations (Non-Retail) 專業人員/民間組織(非零售)
A91 Athletics/Fitness 運動員/健身	H21 Hotel/Hospitality 旅店/酒店業	R11 Real Estate 房地產
A32 Automotive 汽車	I11 Import/Export 進口/出口	R21 Religious Organization 宗教組織
B11 Aviation 航空	I21 Information Technology (IT) 信息技術(IT)	R31 Repair Services - Home, Auto, and Other 維修服務-居家、汽車和其它
C11 Bar/Nightclub/Adult Entertainment Club 酒吧/夜總會/成人俱樂部	I31 Insurance 保險	R41 Restaurant/Food Service 餐廳/食品服務
C21 Childcare 托兒所	J11 Jewelry, Gems, and Precious Metals 珠寶、寶石和貴金屬	R51 Retail Sales/Retail Trade 零售/零售行業
C31 Cleaning/Janitorial/Housekeeping 清潔/保潔/家管	L11 Legal Services/Public Safety 司法/公共安全	S11 Science and Biotechnology 科學與生物技術
C41 Communications/Telecommunications 通信/電信	L21 Logistics/Supply Chain 物流/供應鏈	S21 Security 保安
C51 Construction/Carpentry/Landscaping 建築/木工/綠化	M11 Manufacturing 製造業	T11 Transportation 運輸
C61 Convenience Store/Liquor Store/ Gas Station 便利店/酒品店/加油站	M21 Maritime 海運	T31 Travel 旅遊
C71 Customer Service and Support 客戶服務和支援	M31 Media/Entertainment 媒體/娛樂	U11 Utilities (Public) 公用事業(公共)
E11 Education 教育	M41 Mining, Oil, and Gas 礦業、石油和天然氣	W11 Wholesale Sales/Trade 批發銷售/貿易
E21 Embassy/Consulate 大使館/領事館	M51 Money Services Businesses (Check Cashing, Money Transmitting, Payday Loans, Currency Exchange) 錢幣服務業(支票兌現、現金轉移、薪金 貸款、貨幣兌換)	
E31 Energy 能源		